SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL 3235-0104

OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> MCCARTHY TIMOTHY P	2. Date of Event Requiring Statement (Month/Day/Year) 06/20/2013	3. Issuer Name and Ticker or Trading Symbol <u>HORNBECK OFFSHORE SERVICES INC /LA</u> [ HOS ]								
(Last) (First) (Middle) 103 NORTHPARK BLVD, SUITE 300	_	4. Relationship of Reporting Pers (Check all applicable) Director X Officer (give title below)	son(s) to Issuer 10% Owner Other (specify below)	<ul> <li>5. If Amendment, Date of Original Filed (Month/Day/Year)</li> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> </ul>						
(Street)		SVP & Chief Human I	,	X Form filed by One Reporting Person						
COVINGTON LA 70433	_	SVI & Chief Human		Form filed by More than One Reporting Person						
(City) (State) (Zip)										
	Table I - Non-Deriv	ative Securities Beneficial	lly Owned							
1. Title of Security (Instr. 4)		2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)						
COMMON STOCK		11,154	D							
COMMON STOCK		608(1)	D							
COMMON STOCK		378 <sup>(2)</sup>	D							

	3,557 <sup>(4)</sup>	D				
Table II - Derivative Securities Beneficially Owned						

(e.g., puts, calls, warrants, options, convertible securities)

8,300<sup>(3)</sup>

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative	or Indirect (I) (Instr. 5)	
COMMON STOCK (right to buy)	02/22/2006 <sup>(5)</sup>	02/22/2015	COMMON STOCK	4,500	23.1	D	
COMMON STOCK (right to buy)	02/14/2007 <sup>(6)</sup>	02/14/2016	COMMON STOCK	5,212	33.15	D	
PHANTOM RSU, CASH-ONLY RIGHTS	02/14/2015 <sup>(7)</sup>	02/14/2015	COMMON STOCK	1,400	(7)	D	

Explanation of Responses:

**COMMON STOCK** 

**COMMON STOCK** 

**COMMON STOCK** 

1. These time-vest restrictred stock awards will vest in three equal annual installments on the 1st, 2nd and 3rd anniversaries of the February 23, 2011 Grant Date. The reported amount of securities beneficially owned reflect the remaining restricted stock awards in this grant.

2. These time-vest restricted stock awards will vest in full on the 3rd anniversary of the February 23, 2011 Grant Date.

3. These time-vest restricted stock awards will vest in three equal annual installments on the 1st, 2nd, and 3rd anniversaries of the February 5, 2013 Grant Date.

4. These performance-vest restricted stock awards can vest in whole or in part on the 3rd, 4th, and/or 5th anniversaries of the February 5, 2013 Grant Date based upon the Company achieving certain levels of specified performance objectives.

5. The option provides for vesting in three equal annual installments on the 1st, 2nd and 3rd anniversaries of the February 22, 2005 Grant Date.

6. The option provides for vesting in three equal annual installments on the 1st, 2nd and 3rd anniversaries of the February 14, 2006 Grant Date.

7. These time-vest phantom restricted stock unit awards will vest in three equal annual installments on the 1st, 2nd and 3rd anniversaries of the February 14, 2012 Grant Date, each is the economic equivalent of one share of Common stock, and the phantom shares are payable only in cash based on the market price of the Company's stock on that date.

> 06/25/2013 /s/ Timothy P. McCarthy Date

\*\* Signature of Reporting Person

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

SPECIAL POWER OF ATTORNEY

The undersigned, Timothy P. McCarthy, of Covington, Louisiana in St. Tammany Parish, does hereby appoint, reserving full power of substitution and revocation: Todd M. Hornbeck, James O. Harp, Jr., and Paul M. Ordogne, each a resident of Louisiana, to act jointly or severally at their discretion, one with full authority to act for the others, as his true and lawful attorney-infact, upon the following terms and conditions:

1. Special Powers. The attorney-in-fact may act in the name, place and stead of the undersigned, and on behalf of the undersigned do and execute all or any of the following acts, deeds, and things, to-wit:

(a) Execute for and on behalf of the undersigned, in the undersigned's capacity as an executive officer, director and/or 10% or more beneficial owner of shares of common stock of Hornbeck Offshore Services, Inc. (the "Company"), Forms 3, 4, and 5, as applicable, in accordance with Section 16 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), and the rules thereunder, and Form ID and any other documents necessary or appropriate to obtain codes and passwords enabling the undersigned reporting person to make electronic filings with the SEC.

(b) Do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4, or 5, complete and execute any amendment or amendments thereto, and file such form and any amendments with the United States Securities and Exchange Commission and any stock exchange, association or similar authority.

(c) Take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Special Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

(d) The undersigned hereby grants to such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, hereby ratifying and confirming all that such attorney-in-fact shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorney-in-fact, in serving in such capacity at the request of the undersigned, is not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Exchange Act, or any other provision of the federal or state securities laws, rules and regulations.

2. Effective Period. This Special Power of Attorney, and the powers expressed herein, shall be effective from June 24, 2013, and shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4, and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in accordance with the provisions contained herein.

3. Construction. This instrument is a special power of attorney, granting to the attorney-in-fact only the specific powers expressed herein.

4. Revocation. This Special Power of Attorney may be voluntarily revoked by the undersigned only by a written revocation delivered to the Company at its principal place of business. The undersigned agrees that any third party who receives a copy of this power of attorney may act under it. Revocation of this power of attorney is not effective as to a third party until the third party receives actual notice of the revocation. The undersigned agrees to indemnify the third party for any claims that arise against the third party because of reliance on this power of attorney.

5. Disability of Principal. This Special Power of Attorney shall not terminate upon the undersigned's disability or incompetency.

6. Bond. The attorney-in-fact shall not be obligated to furnish bond or other security.

7. Reliance. This Special Power of Attorney shall be conclusive proof that the rights, power, and authority granted to the attorney-in-fact are in full force and effect and may be relied upon by any person who acts in good faith under this power of attorney. The undersigned's death shall not revoke or terminate this agency as to the attorney-in-fact or any other person who without actual knowledge of the undersigned's death acts in good faith under this power of attorney. An affidavit executed by the attorney-in-fact, stating that he did not have at the time of doing an act pursuant to this power of attorney actual knowledge of the revocation or termination of this power of attorney, is in the absence of fraud, conclusive proof of the validity of the attorney-in-fact's act.

8. Incapacity of Attorney-in-Fact. In the event any attorney-in-fact serving becomes unable to discharge his fiduciary duties hereunder by reason of accident, physical or mental deterioration, or other similar cause as certified by two independent, licensed physicians, each affirming that he has examined the attorney-in-fact and that he has concluded based on his examination that the attorney-in-fact is unable to discharge his duties hereunder, that attorney-infact shall thereupon cease to be the attorney-in-fact as if he had resigned on the date of that certification.

9. Governing Law. This power of attorney shall be governed by the laws of the State of Louisiana in all respects. Should any provision hereof be held invalid, that invalidity shall not affect the other provisions which shall remain in full force and effect.

10. Copies. This instrument may be filed of record in any jurisdiction as may be deemed appropriate by the attorney-in-fact, and copies of this instrument certified as "true" copies by the Clerk of any county or parish in which this instrument is filed shall be treated as original copies for all purposes.

11. Captions. The captions used in this instrument have been inserted for administrative convenience only and do not constitute matters to be construed in interpreting this power of attorney.

STATE OF LOUISIANA

PARISH OF ST. TAMMANY BEFORE ME, the undersigned, a Notary Public in and for said State, on this day personally appeared Timothy P. McCarthy, known to me to be at least eighteen (18) years of age and the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed. GIVEN UNDER MY HAND AND SEAL OF OFFICE this 25th day of June, 2013.

> /s/ Karen Cuccia Schloegel Karen Cuccia Schloegel Notary Public # 56502 State of Louisiana My Commission Is Issued For Life

[SEAL]